Walmart FCCA

FACTORY CAPABILITY & CAPACITY AUDIT (FCCA)

Hardline Non-Electrical (HL Non-Elec)

3PS Audit Co: SGS

Audited by: Joan He

Audit Date: 08/25/2025

Reviewed by: Cindy Wang

Reviewed Date: 08/26/2025

WM Inspect

Report Unique ID
1a1df489-47cc-4396-9fde-2794287ab113

FCCA AUDIT REPORT

Overall Audit Result
PASS

Section 1: Overall Information

General Information

Request ID:
21244011

Scheduled Date:
08/25/2025

Actual audit date:
08/25/2025

Score:
76

Audit Type:
PERIODIC AUDIT

WM Product category:
Hardline Non-Electrical (HL Non-Elec)

Factory Type:
EXISTING

Factory ID:
36170776

Factory Name:
GUANGDONG SHENGYILONG HOUSE PRODUCT TECHNOLOGY LIMITED

Factory Address:
HECHENG 9-10, WUXIA, QIAO TOU TOWN, DONGGUAN CITY, GUANGDONG, CHINA DONGGUAN Guangdong CHINA

Credit Office:

Sourcing Channel:
Domestic

Proposed Brands:
WM Private Label
Brand: MAINSTAYS

Products Made in Factory:
CERAMIC JARS AND FAUX PLANTS

Reviewer:
Cindy Wang

Auditor:
Joan He

Virtual Audit:
no

Retail market & Department number:
Retail market:
WAL-MART INC. USA

Department number:
D20: DOMESTIC GOODS
D17: HOME DECOR

General Photos

Photos

Factory Gate

Factory Name

Factory Address

Sample Room

Sample products to Walmart

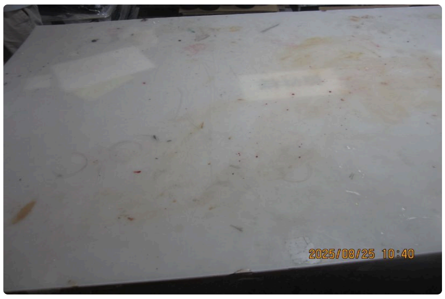
Production Testing Report

Raw Materials Storage Area

Packing Materials Storage Area

Chemical Storage Area

MSDS Posted Onsite



IQC Inspection Area

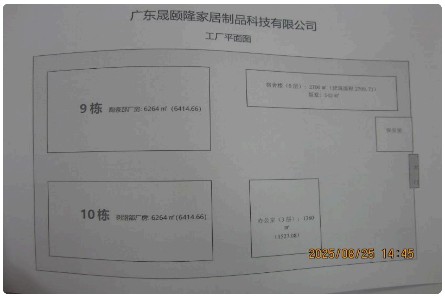
WI for IQC

Test Instrument used in IQC with Calibration Label

Finished Products Warehouse

Production Building

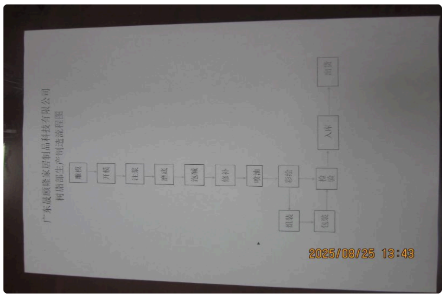
Business License



Factory Layout

Organization Chart

QC Organization Chart



Production Process Flow Chart

Sculpting molds Process

WI for Sculpting molds Process

Filling Clay Process

Polishing Process

Repairing Process

WI for Repairing Process

First Piece Sample for Shaping Process

Spray Painting Process

Hand Painting Process

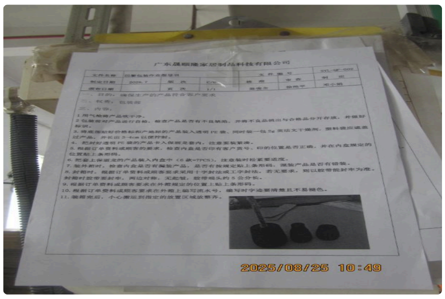
Burning Process

WI for Burning Process

Cleaning Process

Assembly Process


Packing Process



WI for Packing Process

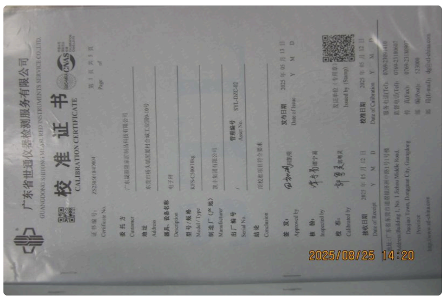
First Piece Sample for Packing Process

Turnover Area

Non-Conforming Products Storage area

ISO9001 Certificate: 151914, Valid from June 19, 2024 to Oct. 21, 2027

Records of sending and receiving sharp weapons



Calibration Report

Light Box

Section 2: Scoring Summary

Category	Total Possible Points	Total Actual Points	Total N/A	Total Adjusted Points	Total Percentage
1.0 Factory Facility & Environment	255	154	72	183	84%
2.0 Quality Management System	180	96	60	120	80%
3.0 Incoming Material Quality Control	240	97	72	168	58%
4.0 Process and Production Control	264	183	30	234	78%
5.0 In-House Testing	372	27	345	27	100%
6.0 Final Inspection	84	72	0	84	86%
7.0 People Resources and Training	75	50	0	75	67%
Total points	**1470**	**679**	**579**	**891**	**76%**

Section 3: Executive Summary

Basic information
GUANGDONG SHENGYILONG HOUSE PRODUCT TECHNOLOGY LIMITED was established in 2014, which was located at No. 9-10, HeCheng Industrial Zone, WuXia village, QiaoTou Town, DongGuan City, GuangDong Province, China. The production area was about 15,000 S.Q. meters, the warehouse area was about 4,000 S.Q. meters. The products to customer were CERAMIC JARS AND FAUX PLANTS. There were about 310 employees in the factory during the audit. The factory had about 11 years' experience on manufacturing BATHROOM SET, 100% of their products were exported at present. The main market was US, etc. The main customers were WM, H&M, Target, LEROY MERLIN, Home goods etc. The management agreed the auditor to visit the whole area and kept an open attitude during audit. The auditor conducted the audit by onsite observation, documents review and interview with management/workers. At the end, the auditor discussed all findings with the management. The management agreed all findings and promised to take corrective actions as soon as possible.

Capability
The factory had about 11 years' experience on manufacturing BATHROOM SET. The machines and production lines were adequate & suitable for manufacture customer products. There were 13-unit Water Curtain Cabinet, 11 -unit Vacuum Pumping Machine, etc. The main processes including Sculpt molds, Filling clay, Polishing, Repairing, Hand painting, Cleaning, Spray painting, Burning, Assembly, Packing. The sales department was responsible for approved sample together with customer. QC was responsible to sign first samples. The factory had some in-house test instruments and could conduct partial tests, such as leak test, drop test etc. The factory conducted about 57.1% internal test as customer requirements.

Capacity
There were about 310 employees in the factory during the audit. The factory had about 11 years’ experience on manufacturing BATHROOM SET. The Maximum Daily Output of Packing were 58,600 PCS. The factory Annual Volume were USD 30,000,000. The Sampling Lead Time for New items were 15 days, for Modified Item were 6 days. The Production Lead Time for New items were 50 days, for Rebuy Item were 45 days; The Material Purchasing Lead Time for New items were 14 days, for Rebuy Item were 12 days.

Quality Management System

The factory had established the quality manual, policy, procedures and work instructions according to ISO 9001 principle. ISO9001:2015 certificate No.: 151914, valid until Oct. 21, 2027. There were about 21 QC/QA staffs in total of quality department. They were clearly divided into IQC, IPQC, QA and internal laboratory test technicists. Incoming materials and packaging materials were inspected by IQC. The semi-manufactured products and finished products were inspected by competent inspectors. Finished goods would only be delivered after passed QA sampling inspection by MIL-STD-105E level II AQL(Critical/Major/Minor---0/1.5/2.5). Pre-production meetings were held prior to mass production, quality department and production department would hold meetings to review and solve quality problems. Based on workers interview and on-site observation, the operators were skillful. Meanwhile, the legal and safety test for raw materials and finished products would also conduct by third party laboratory according to client's requirements. Anyway, in order to meet the client's requirements, the manufacturer should make improvement in those areas that listed in the on-site CAP as soon as possible."0" score critical check points are: Nil "1" score critical check points are: 3.9, 3.10, 3.13

Conclusion/Recommendation

The factory had established the quality management system according to ISO9001:2015. Most workers in the factory were skilful to finish the products. The factory had the rich experience on the products manufacturing. The weak points were pest control, equipment maintenance & calibration, material placement & label, spare parts list, process risk management, supplier management, WI management, etc. Previous findings of insufficient light, parameter monitoring, defect sample display, etc were corrected in this audit. The repeat findings were pest control, equipment maintenance, material placement & label, process risk management, etc. The new findings in this audit were equipment calibration, spare parts list, supplier management, WI management, etc. Conclusion：According to rating in "Result Summary" Sheet, the audit result is Pass with correct actions. The factory has adequate capacity and capability to produce Walmart products: CERAMIC JARS AND FAUX PLANTS for Walmart US.

Section 4: Basic Information

Factory Contact Information

Country / Region:
CHINA

Address:
HECHENG 9-10, WUXIA, QIAO TOU TOWN, DONGGUAN CITY, GUANGDONG, CHINA DONGGUAN Guangdong CHINA

Key contact person for Wal-Mart:
Penny Liao

Telephone number:
+86 - 0769-86666525

Mobile number:
N/A - N/A

Email address:
c8015@syl525.com

Supplier Contact Information

Country / Region:
UNITED STATES

Address:
302 5TH AVE NEW YORK NEW YORK UNITED STATES

Key contact person for Wal-Mart:
Sara Stamm

Telephone number:
+1 - 212-643-0680

Mobile number:
N/A - N/A

Email address:
saras@ideanuova.com

Section 5: Factory Contacts

Chen Junquan
President/General Manager

Email: a2222@syl525.com **Phone:** 18002998525 **Years in position:** 11

Xu Yanping
Quality Manager

Email: a8011@syl525.com **Phone:** 15899913674 **Years in position:** 4

Duan Baoquan
Production Manager

Email: a8001@syl525.com **Phone:** 15322914340 **Years in position:** 8

Jing Yongxin
Maintenance Manager

Email: b8026@syl525.com **Phone:** 18203779481 **Years in position:** 3

Su Runxiang
Plant/Operations Manager

Email: E_House1687@syl525.com **Phone:** 13549375768 **Years in position:** 3

Yang Zhongyu

Chief Engineer,R&D Manager

Email: A6888@SYL525.COM **Phone:** 18002969525 **Years in position:** 11

Section 6: Factory Details

1. Type of ownership

Single ownership

2. Year established

2014

3. Certification

ISO 9001:2015

ISO 14000

4a. Product Safety Compliance

None

4b. EU REACH(SVHC)

Test report

5. Factory setup

Vertical production

6. Product Category Produced

HARDLINES
HOME DECORATIONS, TABLE TOP, BATH ACCESSORIES

7a. Export rate in %	7b. Domestic rate in %	7c. Please specify
100%	0%	NA

8. Current major customers

Name	Product produced	Percentage (%)	Years in business with
TARGET	BATHROOM SET	20%	9
HOME GOODS	home decoration, tabletop, bathroom set	25%	8
WALMART	home decoration, bathroom set	10%	8
H&M	home decoration	20%	6
LEROY MERLIN	bathroom set	25%	5

9. Current sub-contracted factories

Process	Sub-contractor name	Factory address
NA	NA	NA

Section 7: Capability

1. Sampling lead time - (Days from order release)

# of days for new item	# of days for modified item
15	6

2. Production lead time - (Days from sample approval)

# of days for new item	# of days for rebuy item
50	45

3. Material purchasing lead time - (Days from order release)

# of days for new item	# of days for rebuy item
14	12

4. Product range: products and quantity produced in past 2 years

Product	Total quantity	Yrs. of experience producing product	Remarks
BATHRROOM SET	11200000	11	MIL-STD-105E, level II, AQL=Cri 0; Maj 1.5; Min 2.5
HOME DECORATION	4000000	11	MIL-STD-105E, level II, AQL=Cri 0; Maj 1.5; Min 2.5
TABLETOP	800000	11	MIL-STD-105E, level II, AQL=Cri 0; Maj 1.5; Min 2.5

5. Current items being produced

Product	Material	Customer	Ship date	Quantity
bathroom set	Resin and Ceramic	Target	06/15/2025	62768
home decoration	Resin and Ceramic	H&M	07/15/2025	15380
tabletop	Resin and Ceramic	Homegoods	06/30/2025	37158

6. Type and number of machinery/ies. (Attach separate sheet, if needed)

Machine type	Machine age	Brand	No. of unit
vacuum pumping	7	N/A	11
polishing machine	7	N/A	2
Water grinding machine	7	N/A	7

Machine type	Machine age	Brand	No. of unit
packing production line	7	N/A	3
water curtain cabinet	7	N/A	13
waste gas processing equipment	5	N/A	1
mixed machine	7	N/A	2
roller kiln	7	N/A	2
Cubic kiln	7	N/A	2
Glazing machine	7	N/A	4
waste water treatment equipment	5	N/A	1
Dust treatment equipment	5	N/A	1
change-can mixer	7	N/A	11
automatic water curtain cabinet	4	N/A	1
Printing machine	3	N/A	2

7. All main raw materials used in past 2 years

Material/component	Supplier name	Imported	Country of origin	Delivery lead time
RESIN	Huizhou Guodeli Synthetic Materials Co., Ltd.	No	CHINA	14
CLAY	Dongguan Hengcheng Ceramic Raw Materials Co., Ltd.	No	CHINA	14
GLAZE	Dongguan Taiyi Ceramic Raw Materials Co., Ltd.	No	CHINA	8
POWDER COATING	Dongguan Yuanhong Calcium Carbonate Co., Ltd.	No	CHINA	6

8. Factory major process used

Process	Comments
sculplting molds	Maxmium daily output: 310 items
filling clay	Maxmium daily output: 52000 PCS
polishing	Maxmium daily output: 58667PCS
repairing	Maxmium daily output: 59800PCS
spray painting	Maxmium daily output: 59750PCS
hand painting	Maxmium daily output: 58800PCS
Assembly	Maxmium daily output: 54500PCS
Packing	Maxmium daily output: 58600PCS
Burning	Maxmium daily output: 62000PCS
Cleaning	Maxmium daily output: 60000PCS

9. In-house testing capability

Yes

Test name/process	Test equipment used	Comments
Leak test	water	FQC
Drop test	Drop board	FQC
Dimension/height measuremnt	Tape, altimeter, calliper	IQC/IPQC/FQC

Section 8: Capacity

1. Units per month by product category

BATH ACCESSORIES Units
SBU: HOME /Department: BATH & BEDDING /Category: BATHROOM ACCESSORIES /Subcategory: BATH ACCESSORIES

Production month	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Monthly maximum capacity	1439716	627784	674532	1232920	1248594	1395592	1576934	1677529	1549811	1487576	1747189	1753108
Monthly %	8.77%	3.83%	4.11%	7.51%	7.61%	8.50%	9.61%	10.22%	9.44%	9.06%	10.65%	10.68%
Capacity available for WM	20000	20000	20000	30000	50000	30000	40000	30000	30000	30000	50000	50000
Monthly %	5.00%	5.00%	5.00%	7.50%	12.50%	7.50%	10.00%	7.50%	7.50%	7.50%	12.50%	12.50%

Yearly total: 16411285 **Monthly avg.:** 1367607

Yearly total for WM: 400000 **Monthly avg. for WM:** 33333

2. % of capacity occupied by supplier

0%

3. Containers per month (x 40)

149

4. Last year annual volume (USD)

$30000000

5. This year estimated annual volume (USD)

$45000000

6. Number of employees

Staff	Full time	Part time	Temporary	Contractual
Top management	24	0	0	0
Production management	8	0	0	0
Quality management	3	0	0	0
Quality control inspector	18	0	0	0

Workers: (breakdown via prod area.)

sculplting molds	12	0	0	0
filling clay	30	0	0	0
polishing	4	0	0	0
repairing	26	0	0	0
spray painting	16	0	0	0
hand painting	32	0	0	0
burning	12	0	0	0
assembly	18	0	0	0
packing	60	0	0	0
cleaning	4	0	0	0

Workers: (breakdown via department)

R&D/PD	23	0	0	0
Engineering/maintenance	4	0	0	0
Admin/logistic/finance	14	0	0	0
Others	2	0	0	0
Sub total	310	0	0	0
Grand total	310			

7. Number of production lines

3

8. Production line details

Manufacturing process	Product category involved	Maximum daily output	No of workers
sculplting molds	polyresin and ceramic	310 items	12
filling clay	polyresin and ceramic	52000PCS	34
polishing	polyresin	58667PCS	4
repairing	polyresin and ceramic	59800PCS	26
spray painting	polyresin	59750PCS	16
hand painting	polyresin and ceramic	58800PCS	32
burning	Ceramic	62000PCS	12
Assembly	polyresin and ceramic	54500PCS	18
packing	polyresin and ceramic	58600PCS	60
Cleaning	polyresin and ceramic	60000PCS	4

9. Production area

15000 sq m^2

10. Total storage capacity

4000 sq m^2

a. Materials warehouse
4000 sq m^2

b. Finished/packed goods warehouse
0 sq m^2

c. Others
0 sq m^2

Section 9: Corrective Action Plan

1. Clause 1.13

The facility maintains clean and organized production, finishing and packing areas

Comments

According to the on-site audit, there were spider webs on the walls of the incoming material inspection area on the 1F.

Root cause

Corrective Action Plan

Corrective action:
The factory should ensure effective implementation of pest control, regularly clean spider webs.

Owner:
Zhou Yimin

Agreed completion date:
09/25/2025

Photos

there were spider webs on the wall

2. Clause 1.15

Facility has documented and adequate pests control program which includes frequent inspections, (in-house or 3rd party)

Comments

1. According to the on-site audit, there were spider webs on the walls of the incoming material inspection area on the 1F, and there were mosquitoes on the mosquito killer lamp that had not been cleaned. 2. According to the on-site audit, a rat cage in the 3F repairing area was closed.

Root cause

Corrective Action Plan

Corrective action:
The factory should ensure effective implementation of pest control, regularly clean spider webs and mosquito kill lamps, and ensure that the rat cage is in an effective state.

Owner:
Zhou Yimin

Agreed completion date:
09/25/2025

Photos

there were spider webs on the wall

there were mosquito corpses on a mosquito killer lamp

a rat cage was closed

3. Clause 1.25

Factory has documented system and procedure for scheduled production machines & equipment cleaning and repairs and calibration (Preventive Maintenance Program)

Comments

According to the on-site audit, the equipment maintenance of one roller kiln in the burning area on the 4F was insufficient. The maintenance date was only up to August 22, 2025, not reaching the latest maintenance date.

Root cause

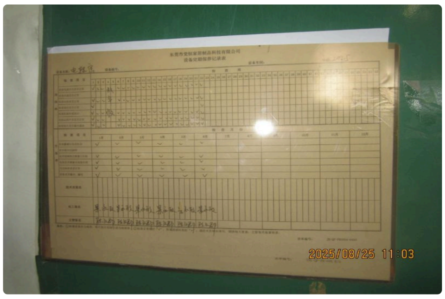
Corrective Action Plan

Corrective action:
Factory should maintain equipment in a timely manner.

Owner:
Zhou Yimin

Agreed completion date:
09/25/2025

Photos



Equipment maintenance records

4. Clause 1.26

Factory has documented system and procedure for scheduled calibration of Inspection and Testing tools and equipment

Comments

According to the on-site audit, one caliper used by IQC was last calibrated on May 11, 2024, and the calibration expiration date has passed.

Root cause

Corrective Action Plan

Corrective action:

The factory should calibrate all testing equipment on time.

Owner:
Zhou Yimin

Agreed completion date:
09/25/2025

Photos

A caliper calibration has expired

5. Clause 1.29

Machines, equipment and tools are properly labeled with date of last maintenance/calibration and schedule

Comments

According to the on-site audit, the equipment maintenance of one roller kiln in the burning area on the 4F was insufficient. The maintenance date was only up to August 22, 2025, not reaching the latest maintenance date.

Root cause

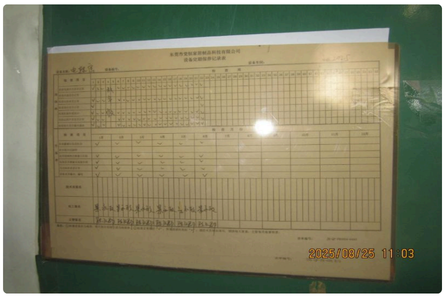
Corrective Action Plan

Corrective action:
Factory should maintain equipment in a timely manner.

Owner:
Zhou Yimin

Agreed completion date:
09/25/2025

Photos



Equipment maintenance records

6. Clause 1.32

Factory has proper documentation and updated inventory of machines, tools, spare parts and equipment

Comments

The factory established a spare parts list, but there were no spare parts brands on the list, and no update date was noted.

Root cause

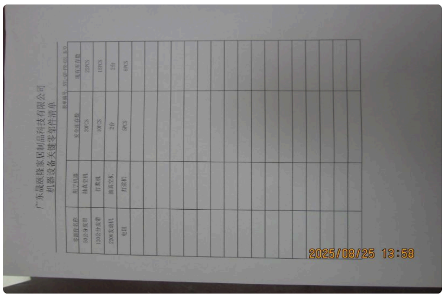
Corrective Action Plan

Corrective action:
The factory should add the brand and update date of spare parts to the spare parts list.

Owner:
Zhou Yimin

Agreed completion date:
09/25/2025

Photos



Spare part list

7. Clause 2.12

Factory has systems and procedures in place to control the risk (Risk Assessment) of physical or chemical contamination that may damage/affect raw materials, processes, equipment & tools, products and personnel as well

Comments

According to the on-site audit, there were employees' water cups placed on the workstation in the 2F packing area.

Root cause

Corrective Action Plan

Corrective action:
Factory should ensure that process risk management is effectively implemented, and ensure that employees do not drink water in the workshop.

Owner:
Zhou Yimin

Agreed completion date:
09/25/2025

Photos

there were employees' water cups placed on the workstation

8. Clause 3.2

Proper first in-first out (FIFO) system on materials are practiced

Comments

According to the on-site audit, 5% of the raw materials in the 1F workshop were not labeled.

Root cause

Corrective Action Plan

Corrective action:
The factory should post labels to all materials to ensure the effectiveness of FIFO.

Owner:
Zhou Yimin

Agreed completion date:
09/25/2025

Photos

5% of the raw materials were not labeled

9. Clause 3.3

Can the system trace back to the raw material source or know where they are from?

Comments

According to the on-site audit, 5% of the raw materials in the 1F workshop were not labeled.

Root cause

Corrective Action Plan

Corrective action:
The factory should post labels to all materials to ensure the effectiveness of traceability.

Owner:
Zhou Yimin

Agreed completion date:
09/25/2025

Photos

5% of the raw materials were not labeled

10. Clause 3.9

Critical clause

Is needed testing equipment available and maintained in good condition?

Comments

According to the on-site audit, one caliper used by IQC was last calibrated on May 11, 2024, and the calibration expiration date has passed.

Root cause

Corrective Action Plan

Corrective action:
The factory should calibrate all testing equipment on time.

Owner:
Zhou Yimin

Agreed completion date:
09/25/2025

Photos

A caliper calibration has expired

11. Clause 3.10

Critical clause

Factory has proper system on material segregation to avoid accidental contamination from rejected items, These are stored in the designated Quarantine area

Comments

According to the on-site audit, some materials to be inspected in the 1F inspection area were placed in the unqualified product area.

Root cause

Corrective Action Plan

Corrective action:
The factory should isolate the qualified products from the non-conforming products.

Owner:
Zhou Yimin

Agreed completion date:
09/25/2025

Photos

some materials to be inspected were placed in the unqualified product area

12. Clause 3.12

Materials, components and accessories are properly stacked and identified with tags / labels and are off the floor

Comments

According to the on-site audit, some packing materials in the 2F packing area were directly placed on the ground.

Root cause

Corrective Action Plan

Corrective action:
The factory should place the materials reasonably, not directly on the ground, but on the pallet.

Owner:
Zhou Yimin

Agreed completion date:
09/25/2025

Photos

some packing materials were directly placed on the ground

13. Clause 3.13

Critical clause

Chemicals and maintenance substances are properly marked and stored to prevent risk of contamination

Comments

According to on-site observation, the paint used in the 2F hand painting area was not labeled.

Root cause

Corrective Action Plan

Corrective action:
The factory should post chemical labels for chemicals and ensure that the chemical labels contain information such as name, batch number, validity period, production date, etc.

Owner:
Zhou Yimin

Agreed completion date:
09/25/2025

Photos

the paint had no chemical label

14. Clause 3.17

Does factory have an established, documented quality procedure and does factory evaluate, monitor or track material supplier's quality performance and reliability? Is there an assessment report of the material supplier factory?

Comments

According to the document review, the procurement control procedure stipulated that the supplier should be regularly evaluated, but the factory did not provide relevant records.

Root cause

Corrective Action Plan

Corrective action:
The factory should conduct regular performance evaluations of suppliers in accordance with the requirements of the documents.

Owner:
Zhou Yimin

Agreed completion date:
09/25/2025

Photos

15. Clause 4.3

Factory has documented Production Process & Procedures with Work Instructions at each stage of operation that are displayed and can be easily understood by all employees

Comments

According to the on-site audit, a WI in the 2F spray painting area had not been signed by the person who formulated and reviewed it.

Root cause

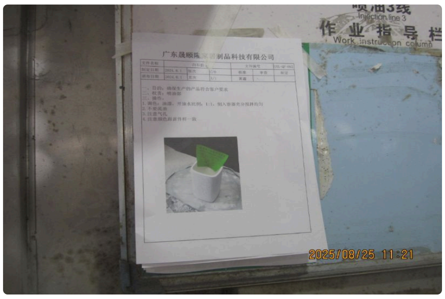
Corrective Action Plan

Corrective action:
The factory should ensure that the documents used on site are under control and signed by the formulators and reviewers.

Owner:
Zhou Yimin

Agreed completion date:
09/25/2025

Photos



a WI had not been signed

16. Clause 4.21

Does packing area have enough space to perform packing functions properly? Is it clean and organized? Is there a separate storage area for packed goods?

Comments

According to the on-site audit, some packing materials in the 2F packing area were directly placed on the ground.

Root cause

Corrective Action Plan

Corrective action:
The factory should place the materials reasonably, not directly on the ground, but on the pallet.

Owner:
Zhou Yimin

Agreed completion date:
09/25/2025

Photos

some packing materials were directly

placed on the ground

Total NC: 16

Section 10: Clauses

Section 1.0 Factory Facility & Environment

Clause 1.1

Are lighting sufficient in the inspection areas: Simple (Performance of visual tasks of high contrast or large size)?

Comments

The factory performed of visual tasks of medium contrast or small size.

Photos

Clause 1.2

Are lighting sufficient in the inspection areas: Difficult (Performance of visual tasks of medium contrast or small size)?

Comments

The minimum lighting in inspection area was 1053Lux.

Photos

The minimum lighting in inspection area was 1053Lux

Clause 1.3

Are lighting sufficient in the inspection areas: Exacting (Performance or visual tasks of low contrast or very small size)?

Comments

The factory performed of visual tasks of medium contrast or small size.

Photos

Clause 1.4

Are lighting sufficient in the production areas: Simple (Performance of visual tasks of high contrast or large size)

Comments

The factory performed of visual tasks of medium contrast or small size.

Photos

Clause 1.5

Are lighting sufficient in the production areas: Difficult (Performance of visual tasks of medium contrast or small size)

Comments

The minimum lighting in production area was 284Lux, which was sufficient.

Photos

The minimum lighting in production area was 284Lux

Clause 1.6

Are lighting sufficient in the production areas: Exacting (Performance or visual tasks of low contrast or very small size)

Comments

The factory performed of visual tasks of medium contrast or small size.

Photos

Clause 1.7

Are lighting sufficient in the materials handling area: Loading & Shipping

Comments

The factory ensured adequate lighting in Loading & Shipping area.

Photos

The luminosity of the Loading & Shipping area

Clause 1.8

Are lighting sufficient in the materials handling area: Picking Stocks, Classifying & Receiving

Comments

The factory ensured adequate lighting in Picking Stocks, Classifying & Receiving area.

Photos

The luminosity of the Picking Stocks, Classifying & Receiving area

Clause 1.9

Are lighting sufficient in the materials handling area: Wrapping, Packing & Labeling

Comments

The minimum lighting in packing area was 737Lux at packing area.

Photos

The minimum lighting in packing area was 737Lux at packing area

Clause 1.10

Are lighting sufficient in the Warehouse and Storage: Inactive Area

Comments

The factory ensured adequate lighting in Inactive warehouse area.

Photos

The luminosity of Inactive warehouse area

Clause 1.11

Are lighting sufficient in the Warehouse and Storage: Active Area: Bulky Items; Large Labels

Comments

The factory ensured adequate lighting (89Lux) in warehouse.

Photos

The luminosity of Bulky Items; Large Labels, Active Area

Clause 1.12

Are lighting sufficient in the Warehouse and Storage: Active Area: Small Items; Small Labels

Comments

The factory ensured adequate lighting in Small Items; Small Labels, Active Area.

Photos

The luminosity of Small Items; Small Labels, Active Area

Clause 1.13

The facility maintains clean and organized production, finishing and packing areas

Comments

According to the on-site audit, there were spider webs on the walls of the incoming material inspection area on the 1F.

Photos


there were spider webs on the wall

Clause 1.14

Facility has separate inspection area for each department with inspection tables and proper ventilation

Comments

There were separate inspection area for QC with tables for inspection and writing inspection reports.

Photos

QC inspection area

Clause 1.15

Facility has documented and adequate pests control program which includes frequent inspections, (in-house or 3rd party)

Comments

1. According to the on-site audit, there were spider webs on the walls of the incoming material inspection area on the 1F, and there were mosquitoes on the mosquito killer lamp that had not been cleaned. 2. According to the on-site audit, a rat cage in the 3F repairing area was closed.

Photos

there were spider webs on the wall

there were mosquito corpses on a mosquito killer lamp

a rat cage was closed

Clause 1.16

Facility has documented moisture control program which includes frequent inspections/Moisture Content (MC) checking, [Mandatory for factories producing products with solid wood/composite wood, leather & paper parts]

Comments

It was not applicable. No wood products were used in the CERAMIC JARS AND FAUX PLANTS produced by the factory.

Photos

Clause 1.17

Are storage conditions appropriate and adequate for raw material to avoid deterioration of quality or safety and have good climate controls (temperature-controlled environment), especially for sensitive materials/components? (Ex,Solid wood lumber, Genuine Leather, sythetic PU/PVC, fabrics etc,)

Comments

Factory has a documented procedure, controls and records the temperature and humidity daily.

Photos

Humidity control records

Clause 1.18

No broken windows or leaking roofs that may result to product contamination was observed during Audit

Comments

Based on on-site observation, general state of windows and roofs are kept in good condition and no probable contamination has been observed.

Photos

Workshop in good condition

Clause 1.19

Critical clause

Factory implements strict sharp tools control procedure to prevent scissors, knives, blades, broken glasses and needles to be mixed

with product in the FINISHED PRODUCTS PACKAGING AREA

Comments

The factory established sharps management control procedure and provided issue and return record for review on the audit day.

Photos

Records of sending and receiving sharp weapons

Clause 1.20

Critical clause

Factory implements a broken needle control process [Applies for products that requires sewing and/or those used in ticketing or kimble guns for tags]

Comments

No sewing or ticketing process in the factory.

Photos

Clause 1.21

Critical clause

Factory has metal detecting unit (Airport System) and located at the right finishing area and is enclosed and secured and have regular calibration records [Scoring will not apply (N/A) if factory does not need this machine]

Comments

No sewing or ticketing process in the factory.

Photos

Clause 1.22

ⓘ This is an additional question. Factory might get extra points.

Does the plant have a "back up" power supply available that will allow production to continue in case of power failure? [Additional points if they have]

Comments

There was no generator in the factory.

Photos

Clause 1.23

Does the factory have established procedure and effective industrial waste management system in place?

Comments

Factory have written procedure/policy that controls industrial waste. Proper handling, collection, recycling and disposal of hazardous and non-hazardous waste are observed. Regular scheduled waste disposal were followed. Waste management was documented, implemented and had certification from local environmental & sanitation agency.

Photos

Clause 1.24

Does the factory inspect and check for molds for finished/packed products stored in warehouse for more than 90 days prior to shipment release?

Comments

Factory established process & procedures to inspect using AQL Level II Standard and check mold for products sitting in the finished warehouse over 90 days prior to shipment release while waiting for 3rd party on-site check.

Photos

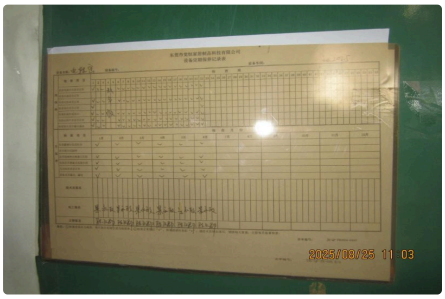
Clause 1.25

Factory has documented system and procedure for scheduled production machines & equipment cleaning and repairs and calibration (Preventive Maintenance Program)

Comments

According to the on-site audit, the equipment maintenance of one roller kiln in the burning area on the 4F was insufficient. The maintenance date was only up to August 22, 2025, not reaching the latest maintenance date.

Photos



Equipment maintenance records

Clause 1.26

Factory has documented system and procedure for scheduled calibration of Inspection and Testing tools and equipment

Comments

According to the on-site audit, one caliper used by IQC was last calibrated on May 11, 2024, and the calibration expiration date has passed.

Photos

A caliper calibration has expired

Clause 1.27

Is calibration accuracy traceable to specific International and National Standards?

Comments

Calibration records were traceable to International and National Standards calibration standards or masters.

Photos

Clause 1.28

Factory machines and equipment appear to be clean and in good running condition

Comments

Factory machines and equipment were clean and in good running condition with no sign of oil leakage and did not produce unnecessary noise or noise safety guards were fitted.

Photos

Machine in good condition

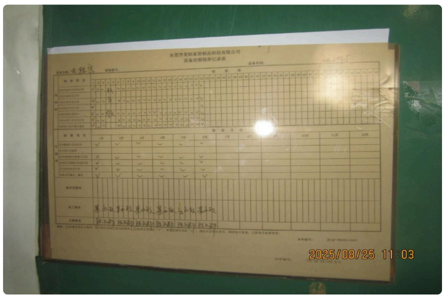
Clause 1.29

Machines, equipment and tools are properly labeled with date of last maintenance/calibration and schedule

Comments

According to the on-site audit, the equipment maintenance of one roller kiln in the burning area on the 4F was insufficient. The maintenance date was only up to August 22, 2025, not reaching the latest maintenance date.

Photos



Equipment maintenance records

Clause 1.30

Machines, equipment and tools that needs to be repaired are properly labeled or marked to avoid accidental use

Comments

Machines, equipments and tools that needs to be repaired were properly labeled to avoid accidental use.

Photos

equipment with status sign

Clause 1.31

Factory has proper, clean and organized storage area of critical tooling (i.e. injection moulds) with labeled shelves or in pallets (for heavy tooling/moulds) & lifting equipment

Comments

Factory has proper, clean and organized storage area.

Photos

Moulds storage area

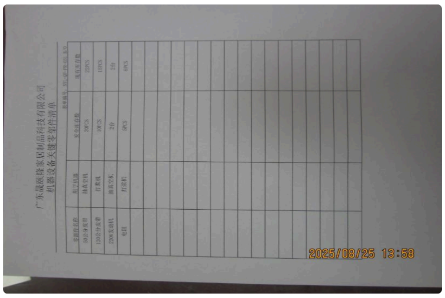
Clause 1.32

Factory has proper documentation and updated inventory of machines, tools, spare parts and equipment

Comments

The factory established a spare parts list, but there were no spare parts brands on the list, and no update date was noted.

Photos



Spare part list

Clause 1.33

Factory has maintenance team with suitable skill level and equipment to perform necessary repair and calibration on machines

Comments

Factory had sufficient maintenance team that has suitable skills. Factory maintenance team were properly equipped.

Photos

Section 2.0 Quality Management System

Clause 2.1

ⓘ This is an additional question. Factory might get extra points.

Does the factory have accredited Quality Management System in place with any international, national or customer quality standards association (e,g, ISO 9001,etc,)?

Comments

The factory did not get such certificate.

Photos

Clause 2.2

Does the factory have established Quality Management System (QMS) that is clearly documented in their Quality Manual which follows ISO9001 or other International Quality Standard that is appropriate to the products they manufacture?

Comments

The Quality System identified controls, processes, equipment, fixtures, resources and skills. Critical characteristics were identified.

Photos

Clause 2.3

Workers & Supervisors are familiar to these quality policies and objectives

Comments

Mostly all factory workers including supervisors interviewed (80%) were aware and fully understanded these policies and procedures.

Photos

Clause 2.4

Does the factory perform regularly internal audits and track the efficiency of the corrective actions of the deviations found during internal audits?

Comments

The factory have documented internal audit system in place that was effectively implemented, but some of the records were not well documented.

Photos

Clause 2.5

Factory has documented customer complaint handling system

Comments

The factory had documented customer complaints handling program that was effectively implemented including the capturing, recording and investigation of product complaints. But some Corrective action plans were not documented detailing the investigation and actions taken to prevent a recurrence.

Photos

Clause 2.6

Factory has documented management of product recall and withdrawal program

Comments

Factory has documented management of product recall & withdrawal program that is effectively implemented.

Photos

Clause 2.7

Critical clause

Factory QC team is independent from Production division

Comments

QC Team was independent from production division. No responsibility other than quality was assigned to factory QC.

Photos

Clause 2.8

Critical clause

Does the factory have some type of listing to identify specific defects [similar to Defect Classification List (DCL) or Critical-Major-Minor Defect List (CMM)] and is it being used in their inspections?

Comments

Factory QC used and referred to QA Department's defined DCL and/or following client-specific DCL for inspections.

Photos

Clause 2.9

Production management and QC team discuss and work together in solving Quality issues/ concerns. (Provide Documentation)

Comments

Reports of discussion and planning were available together with the plan to solve quality issues and concerns. But factory had incomplete documented records to support these plans.

Photos

Clause 2.10

Does factory implement continuous improvement activity? For example, internal defect rate improvement, inspection pass rate improvement , Maintain KPIs, etc

Comments

The factory had documented process & procedures in implementing continuous improvement activities effectively implemented at department level or process level, but some monthly KPI reports shared to GM, QC & Production Managers were incomplete and analysis were inconclusive.

Photos

Clause 2.11

Factory maintain effective traceabilty system that can determine immediate source from raw material to finished product

Comments

Traceability system has been tested and documented records had been maintained at least annually to ensure traceability can be determined from raw materail to finished product.

Photos

Clause 2.12

Factory has systems and procedures in place to control the risk (Risk Assessment) of physical or chemical contamination that may damage/affect raw materials, processes, equipment & tools, products and personnel as well

Comments

According to the on-site audit, there were employees' water cups placed on the workstation in the 2F packing area.

Photos

there were employees' water cups placed on the workstation

Clause 2.13

Critical clause

Does factory have a timber source control system? [Mandatory for factories producing products with wood & plant components to ASDA/GEORGE UK]

Comments

No wood material in the product was used by the factory.

Photos

Clause 2.14

Critical clause

Does factory have control system in place to comply with the requirements of the LACEY ACT, that they have exercised due care to ensure that the wood/plant materials contained in products supplied to Wal-Mart are legally sourced from their country of origin [Mandatory requirement for factories producing products with wood & plant materials to US for Walmart, Walmart.com, Sam's Club and SamsClub.com]

Comments

No wood material in the product was used by the factory.

Photos

Clause 2.15

Critical clause

Does factory have control system in place to comply with the TSCA Title VI Composite Wood Certification (Updated CARB requirements in compliance with the State of California's Airborne Toxic Control Measure (ATCM)? [Mandatory requirement for factories producing products containing any engineered wood products to US for Walmart, Walmart.com, Sam's Club and SamsClub.com]

Comments

No engineered wood product was produced in the factory.

Photos

Clause 2.16

If the factory produces items requiring law tags/labels, are these law tags/labels registered with GRS (Global Registration Services)? [Mandatory WMUS requirement for all Upholstered and Stuffed Articles] NOTE: Applicable only for existing factories, New factory is exempted (N/A) for this requirement during the Initial Pre-Qualification Audit

Comments

It was not applicable to CERAMIC JARS AND FAUX PLANTS.

Photos

Section 3.0 Incoming Material Quality Control

Clause 3.1

Factory has documented process & procedures (instructions, guidelines and records) for quality inspection and verification on all in-coming raw materials, accessories, components and/or sub-assemblies to ensure that it conforms to specifications, quality standards, safety & regulatory requirements

Comments

Factory had procedures for quality inspection on all in-coming raw materials, accessories, components and/or sub-assemblies but had incomplete records of inspections conducted.

Photos

Clause 3.2

Proper first in-first out (FIFO) system on materials are practiced

Comments

According to the on-site audit, 5% of the raw materials in the 1F workshop were not labeled.

Photos

5% of the raw materials were not labeled

Clause 3.3

Can the system trace back to the raw material source or know where they are from?

Comments

According to the on-site audit, 5% of the raw materials in the 1F workshop were not labeled.

Photos

5% of the raw materials were not labeled

Clause 3.4

Incoming and outgoing material quantities are monitored and documented

Comments

Factory conducted quantity checking for materials, but quantity checking has incomplete documented records.

Photos

Clause 3.5

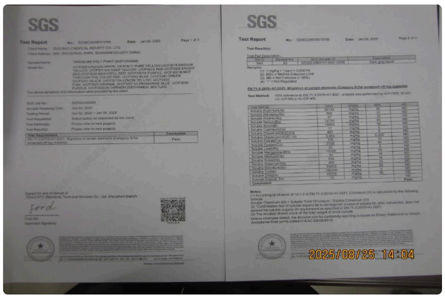
Critical clause

Does the factory maintain records showing proof that all paints, coatings and non-paint components (hardware, labels, etc.) are tested for Lead and Heavy Metals content and complied with the safety & regulatory requirements where the products are sold, as applicable? [US, CAN & MEXICO only]

Comments

Laboratory testing reports on heavy metals content for all relevant materials were kept by the factory.

Photos


Testing reports on heavy metals content

Clause 3.6

Critical clause

Does the factory maintain records showing proof that all raw materials are tested for Restricted Substances - Volatile Organic Compound (VOC) and complied with the safety & regulatory requirements where the products are sold, as applicable? [US & CAN only]

Comments

The products were CERAMIC JARS AND FAUX PLANTS in the factory. It was not applicable.

Photos

Clause 3.7

Critical clause

Does the factory maintain records showing proof that all raw materials to be used for product intended to be used in contact with food shall conform to FDA Compliance for Food Contact Materials? [FDA for US; EU Food Contact Safety-2011/10/EU for UK ASDA/GEORGE or LFGB as equivalent]

Comments

The products were CERAMIC JARS AND FAUX PLANTS in the factory. It was not applicable.

Photos

Clause 3.8

Critical clause

Does the factory maintain records showing proof that all polymeric raw materials & components to be used for product intended to be used in contact with food does not contain BPA (Bisphenol-A) or exceeds the allowable limit?

Comments

The products were CERAMIC JARS AND FAUX PLANTS in the factory. It was not applicable.

Photos

Clause 3.9

Critical clause

Is needed testing equipment available and maintained in good condition?

Comments

According to the on-site audit, one caliper used by IQC was last calibrated on May 11, 2024, and the calibration expiration date has passed.

Photos

A caliper calibration has expired

Clause 3.10

Critical clause

Factory has proper system on material segregation to avoid accidental contamination from rejected items, These are stored in the designated Quarantine area

Comments

According to the on-site audit, some materials to be inspected in the 1F inspection area were placed in the unqualified product area.

Photos

some materials to be inspected were placed in the unqualified product area

Clause 3.11

Does the factory review, determine and record the disposition of rejected raw material, i,e, Return to raw matl supplier, Deviate to

Use, Rework, Scrap?

Comments

Effectively implemented but not properly documented.

Photos

Clause 3.12

Materials, components and accessories are properly stacked and identified with tags / labels and are off the floor

Comments

According to the on-site audit, some packing materials in the 2F packing area were directly placed on the ground.

Photos

some packing materials were directly placed on the ground

Clause 3.13

Critical clause

Chemicals and maintenance substances are properly marked and stored to prevent risk of contamination

Comments

According to on-site observation, the paint used in the 2F hand painting area was not labeled.

Photos

the paint had no chemical label

Clause 3.14

If applicable, Fire Resistant (FR) foam/filling (fibers) material shall have independent test certificates, and factory properly segregate Fire Resistant Foam/Filling (fibers) Material from non Fire Resistant Foam/Filling (fibers) Material FOR ASDA/GEORGE UK: (Only applies for Filled Bedding manufacturer except Mattress Protector & Duvet)

Comments

The factory did not use any fire-resistant foam or fibers.

Photos

Clause 3.15

Critical clause

Is the checking equipment, e,g, Color Lighting Cabinet (Light Box) being put under a proper environment and well maintained and approved shade band available for bulk color verification? Light cabinet has Walmart required light source based on specific Retail Market requirement

Comments

Based on the on-site audit, the factory placed the light box in a darkroom and it meets the requirements.

Photos

Light box

Clause 3.16

Does factory have a documented supplier selection and approval process?

Comments

Factory had documented supplier selection and approval process.

Photos

Clause 3.17

Does factory have an established, documented quality procedure and does factory evaluate, monitor or track material supplier's quality performance and reliability? Is there an assessment report of the material supplier factory?

Comments

According to the document review, the procurement control procedure stipulated that the supplier should be regularly evaluated, but the factory did not provide relevant records.

Photos

Clause 3.18

Does factory have an established, documented quality procedure and does factory evaluate, monitor or track Sub-Contractor quality performance and reliability? Is there an assessment report of the sub-contractor's factory?

Comments

No subcontractor was used by factory.

Photos

Clause 3.19

Are the records for supplier & subcontractor approval properly maintained and kept according to the defined retention period? (Minimum 1 year)

Comments

Related records were filed but expired records are not handled properly.

Photos

Clause 3.20

Does personnel with adequate product knowledge and skill sets conduct material supplier & sub-contractor qualification?

Comments

Qualified and fully capable to do the job but had not training records or certification.

Photos

Section 4.0 Process and Production Control

Clause 4.1

Does factory PD study and apply product safety features, evaluates patterns, moulds and samples during product design and development?

Comments

The factory PD study and apply safety features on products during development. Factory PD evaluates patterns, moulds and keeps samples as reference incompletely.

Photos

Clause 4.2

Factory has documented Quality Process & Procedures with Work Instructions at each stage of operation that are displayed and can be easily understood by all employees

Comments

Factory has documented Quality Process & Procedures with Work Instructions at each stage of operation but is not easy to understand and access.

Photos

WI for QC onsite

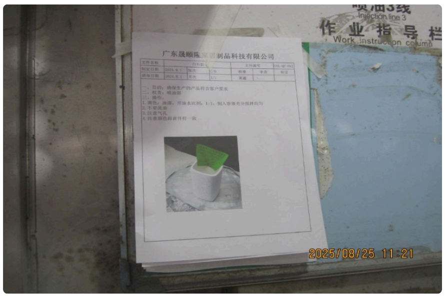
Clause 4.3

Factory has documented Production Process & Procedures with Work Instructions at each stage of operation that are displayed and can be easily understood by all employees

Comments

According to the on-site audit, a WI in the 2F spray painting area had not been signed by the person who formulated and reviewed it.

Photos



a WI had not been signed

Clause 4.4

Are required production control parameters stipulated/defined and maintained during the production processes?

Comments

Parameters were stipulated. The auditor checked parameters on burning process and parameters were in control and followed.

Photos

Clause 4.5

Does factory conduct Pre-production meeting prior to start of production?

Comments

The factory conducted pre-production meeting, but the pre-production meeting records were incomplete.

Photos

Clause 4.6

Are production and quality supervisors present during Pre-production meeting?

Comments

Based on document review and management interview, only QC supervisors and technicians were present during pre-production meeting, merchandisers did not attend.

Photos

Clause 4.7

Critical clause

Are critical quality and safety checks reviewed, identified, and actions for improvement documented during Pre-production meeting?

Comments

Critical quality and safety checks were identified and reviewed with actions for improvement, but documented records were incomplete.

Photos

Clause 4.8

Does factory conduct "Pilot-run" on new products, high value, high volume and high risk items and review product quality against specification sheet and document results with corrective actions prior to mass production?

Comments

Factory conducted pilot run but not on every new product, high value, high volume and high risk items with same design construction and function.

Photos

Clause 4.9

Was in house testing performed on current production? (Request for test copies)

Comments

In-house lab tests were performed on current production， but the records needed to be improved.

Photos

Inspection record

Clause 4.10

At the production stage, does factory QC compare first piece samples with approval sample and specification sheet?

Comments

Factory QC compared first piece samples against approval sample & specification sheet, but some records were incomplete.

Photos

First piece sample

Clause 4.11

With regards to #4.10, are there adequate approved samples, first piece samples, reference samples and work instructions to provide workers with proper guidelines?

Comments

There were adequate approved samples, first piece samples, reference samples and work instructions to provide workers with proper guidelines on every production stage.

Photos

First piece sample

Clause 4.12

Critical clause

Does Quality Control Department personnel (Inspectors/Supervisors/Managers/Directors) have authority to stop production if quality of products did not meet specification?

Comments

Critical quality & safety checks were identified during pre-production meeting. Critical quality and safety checks were reviewed during pre-production meeting. Review, identification and actions for improvement were documented.

Photos

Clause 4.13

Does the factory have systems in place for the handling of product specification/communication documents

Comments

There was a system to verify that the latest documentation agrees with the purchase order and that the current documentation was available to all areas of operations during all operation shifts.

Photos

Clause 4.14

Does factory use defective/ reject samples to demonstrate examples of common defects?

Comments

Factory displayed defective/ reject samples with notations or instructions to demonstrate examples of defects.

Photos

defective sample

Clause 4.15

Does factory has proper in-process inspection procedure and standard? Does QC strictly follow the procedure and all inspection records are properly retained

Comments

The factory established IPQC inspection work instruction and conducted the inspect, but part of records were incomplete.

Photos

Clause 4.16

In-line inspections (IPQC) are performed by QC (both by Production Line QC & Quality Dept's QC) at every operation process

Comments

The factory established IPQC inspection work instruction and conducted the inspect, but part of records was incomplete.

Photos

Inspection record of IPQC

Clause 4.17

Factory QC inspects per standard AQL or as per industry standards

Comments

Factory QC conducted inspections based on AQL level standards (Level 2, AQL: Cri/0, Major/1.5 & Minor/2.5) which was higher than those set by customer.

Photos

Clause 4.18

Factory performs 100% functionality check on final products?

Comments

Factory performed 100% basic functionality check on final products, but some records were incomplete.

Photos

100% check area

Clause 4.19

Does factory use corrective actions and root cause analysis methods? (Please provide examples)

Comments

Factory used CAP procedure. Problems were analyzed (root cause analysis) and documented with planned results that clearly defines responsibilities, actions for improvement and deadlines.

Photos

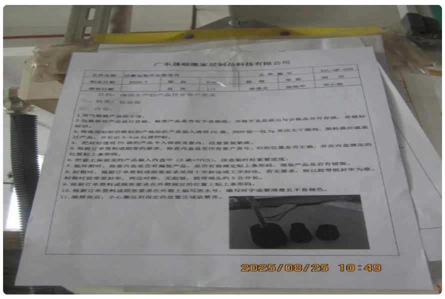
Clause 4.20

Does factory have guidelines in place to ensure packaging is correct for product?

Comments

Factory had guidelines in place to ensure packaging was correct for product. These guides were posted and present on each packaging line for workers & QC reference.

Photos



WI of packing for workers

Clause 4.21

Does packing area have enough space to perform packing functions properly? Is it clean and organized? Is there a separate storage area for packed goods?

Comments

According to the on-site audit, some packing materials in the 2F packing area were directly placed on the ground.

Photos

some packing materials were directly placed on the ground

Clause 4.22

Packed cartons are stored on pallets in an enclosed area not exposed to direct sunlight and damp conditions, Space is available and cartons are not stored too high or against walls or windows

Comments

Packed cartons were stored on pallet in enclosed area and not exposed to sunshine & wet weather & cartons were not stored too high or against walls or windows.

Photos

finished products warehouse

Clause 4.23

Does factory track and document on-time ship performance and take necessary actions (CAP) for delayed shipments [Check rolling 12 months shipment record]

Comments

Factory track and document on-time ship performance and take necessary actions (CAP) for delayed shipments.

Photos

Clause 4.24

Critical clause

Does the factory have documented REACH control system? (For ASDA/GEORGE UK only)Article : an object which during production is given a special shape, surface or design which determines its function of a greater degree than does its chemical composition

Comments

It was not applicable to WM US.

Photos

Clause 4.25

Critical clause

Does the factory have documented REACH control system? (For ASDA/GEORGE UK only)Preparation : Mixture or solution with more than 1 substances

Comments

It was not applicable to WM US.

Photos

Clause 4.26

Does factory use statistical process control (SPC) for quality? Are SPC controls maintained at the manufacturing operation as required?

Comments

Factory only conducted statistical analysis of defective products.

Photos

Section 5.0 In-House Testing

Factory performs the following mandatory in-house testing for HARD HOME DEPTS (Non- E&E) ONLY across ALL Retail Markets

Clause 5.1.A.1

Critical clause

GENERAL (All HARD HOME) : Annealing Test

Comments

It is not applicable for CERAMIC JARS AND FAUX PLANTS.

Photos

Factory performs the following mandatory in-house testing for HARD HOME DEPTS (Non- E&E) ONLY across ALL Retail Markets

Clause 5.1.A.2

Critical clause

GENERAL (All HARD HOME) : Moisture Content Test

Comments

It is not applicable for CERAMIC JARS AND FAUX PLANTS.

Photos

Factory performs the following mandatory in-house testing for HARD HOME DEPTS (Non- E&E) ONLY across ALL Retail Markets

Clause 5.1.B.1

Critical clause

HOUSEWARES: Cookware/Flatware/Cutlery/General : Handle Strength Test

Comments

It is not applicable for CERAMIC JARS AND FAUX PLANTS.

Photos

Factory performs the following mandatory in-house testing for HARD HOME DEPTS (Non- E&E) ONLY across ALL Retail Markets

Clause 5.1.B.2

Critical clause

HOUSEWARES: Cookware/Flatware/Cutlery/General : Hardness Test

Comments

It is not applicable for CERAMIC JARS AND FAUX PLANTS.

Photos

Factory performs the following mandatory in-house testing for HARD HOME DEPTS (Non- E&E) ONLY across ALL Retail Markets

Clause 5.1.B.3

Critical clause

HOUSEWARES: Cookware/Flatware/Cutlery/General : Non-stick Coating Test

Comments

It is not applicable for CERAMIC JARS AND FAUX PLANTS.

Photos

Factory performs the following mandatory in-house testing for HARD HOME DEPTS (Non- E&E) ONLY across ALL Retail Markets

Clause 5.1.B.4

Critical clause

HOUSEWARES: Cookware/Flatware/Cutlery/General : Thermal Performance test

Comments

It is not applicable for CERAMIC JARS AND FAUX PLANTS.

Photos

Factory performs the following mandatory in-house testing for HARD HOME DEPTS (Non- E&E) ONLY across ALL Retail Markets

Clause 5.1.C.1

Critical clause

HOME DÉCOR/FURNISHING: Candle/Clocks/Window Drapery Hardware/General : Stability Test / Tip Test

Comments

It is not applicable for CERAMIC JARS AND FAUX PLANTS.

Photos

Factory performs the following mandatory in-house testing for HARD HOME DEPTS (Non- E&E) ONLY across ALL Retail Markets

Clause 5.1.C.2

Critical clause

HOME DÉCOR/FURNISHING: Candle/Clocks/Window Drapery Hardware/General : Burning Performance Test

Comments

It is not applicable for CERAMIC JARS AND FAUX PLANTS.

Photos

Factory performs the following mandatory in-house testing for HARD HOME DEPTS (Non- E&E) ONLY across ALL Retail Markets

Clause 5.1.C.3

Critical clause

HOME DÉCOR/FURNISHING: Candle/Clocks/Window Drapery Hardware/General : Clock Accuracy Test

Comments

It is not applicable for CERAMIC JARS AND FAUX PLANTS.

Photos

Factory performs the following mandatory in-house testing for HARD HOME DEPTS (Non- E&E) ONLY across ALL Retail Markets

Clause 5.1.C.4

Critical clause

HOME DÉCOR/FURNISHING: Candle/Clocks/Window Drapery Hardware/General : Movement Cycling Test

Comments

It is not applicable for CERAMIC JARS AND FAUX PLANTS.

Photos

Factory performs the following mandatory in-house testing for HARD HOME DEPTS (Non- E&E) ONLY across ALL Retail Markets

Clause 5.1.D.1

Critical clause

FURNITURE (INDOOR/OUTDOOR): Furniture Folding/Seating/Living Room/RTA Home Office/Office Chair/Lawn & Garden/General : Backrest Strength/ Durability Test*

Comments

It is not applicable for CERAMIC JARS AND FAUX PLANTS.

Photos

Factory performs the following mandatory in-house testing for HARD HOME DEPTS (Non- E&E) ONLY across ALL Retail Markets

Clause 5.1.D.2

Critical clause

FURNITURE (INDOOR/OUTDOOR): Furniture Folding/Seating/Living Room/RTA Home Office/Office Chair/Lawn & Garden/General : Pedestal Base Strength Test - Static*

Comments

It is not applicable for CERAMIC JARS AND FAUX PLANTS.

Photos

Factory performs the following mandatory in-house testing for HARD HOME DEPTS (Non- E&E) ONLY across ALL Retail Markets

Clause 5.1.D.3

Critical clause

FURNITURE (INDOOR/OUTDOOR): Furniture Folding/Seating/Living Room/RTA Home Office/Office Chair/Lawn & Garden/General : Caster/ Chair Base/ Seating Durability Test

Comments

It is not applicable for CERAMIC JARS AND FAUX PLANTS.

Photos

Factory performs the following mandatory in-house testing for HARD HOME DEPTS (Non- E&E) ONLY across ALL Retail Markets

Clause 5.1.D.4

Critical clause

FURNITURE (INDOOR/OUTDOOR): Furniture Folding/Seating/Living Room/RTA Home Office/Office Chair/Lawn & Garden/General : Impact Test

Comments

It is not applicable for CERAMIC JARS AND FAUX PLANTS.

Photos

Factory performs the following mandatory in-house testing for HARD HOME DEPTS (Non- E&E) ONLY across ALL Retail Markets

Clause 5.1.D.5

Critical clause

FURNITURE (INDOOR/OUTDOOR): Furniture Folding/Seating/Living Room/RTA Home Office/Office Chair/Lawn & Garden/General : Static Load Test

Comments

It is not applicable for CERAMIC JARS AND FAUX PLANTS.

Photos

Factory performs the following mandatory in-house testing for HARD HOME DEPTS (Non- E&E) ONLY across ALL Retail Markets

Clause 5.1.D.6

Critical clause

FURNITURE (INDOOR/OUTDOOR): Furniture Folding/Seating/Living Room/RTA Home Office/Office Chair/Lawn & Garden/General : Stability Test

Comments

It is not applicable for CERAMIC JARS AND FAUX PLANTS.

Photos

Factory performs the following mandatory in-house testing for HARD HOME DEPTS (Non- E&E) ONLY across ALL Retail Markets

Clause 5.1.D.7

Critical clause

FURNITURE (INDOOR/OUTDOOR): Furniture Folding/Seating/Living Room/RTA Home Office/Office Chair/Lawn & Garden/General : Leg Strength Test

Comments

It is not applicable for CERAMIC JARS AND FAUX PLANTS.

Photos

Factory performs the following mandatory in-house testing for HARD HOME DEPTS (Non- E&E) ONLY across ALL Retail Markets

Clause 5.1.E.1

Critical clause

HOME ORGANIZATION/LUGGAGE : Handle Strength/Pull Test

Comments

It is not applicable for CERAMIC JARS AND FAUX PLANTS.

Photos

Factory performs the following mandatory in-house testing for HARD HOME DEPTS (Non- E&E) ONLY across ALL Retail Markets

Clause 5.1.E.2

Critical clause

HOME ORGANIZATION/LUGGAGE : Loaded Performance Test

Comments

It is not applicable for CERAMIC JARS AND FAUX PLANTS.

Photos

Factory performs the following mandatory in-house testing for HARD HOME DEPTS (Non- E&E) ONLY across ALL Retail Markets

Clause 5.1.F.1

Critical clause

WITH ELECTRICAL/ELECTRONIC Parts/Components : Power Consumption test

Comments

It is not applicable for CERAMIC JARS AND FAUX PLANTS.

Photos

Factory performs the following mandatory in-house testing for HARD HOME DEPTS (Non- E&E) ONLY across ALL Retail Markets

Clause 5.1.F.2

Critical clause

WITH ELECTRICAL/ELECTRONIC Parts/Components : Dielectric Voltage Withstand Test

Comments

It is not applicable for CERAMIC JARS AND FAUX PLANTS.

Photos

Factory performs the following mandatory in-house testing for HARD HOME DEPTS (Non- E&E) ONLY across ALL Retail Markets

Clause 5.1.F.3

Critical clause

WITH ELECTRICAL/ELECTRONIC Parts/Components : Earth Continuity Test

Comments

It is not applicable for CERAMIC JARS AND FAUX PLANTS.

Photos

Factory performs the following mandatory in-house testing for HARD HOME DEPTS (Non- E&E) ONLY across ALL Retail Markets

Clause 5.1.F.4

Critical clause

WITH ELECTRICAL/ELECTRONIC Parts/Components : Strain Relief test

Comments

It is not applicable for CERAMIC JARS AND FAUX PLANTS.

Photos

Clause 5.1.G

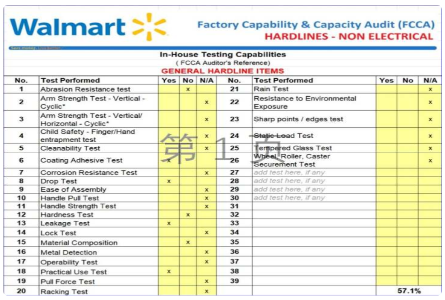
ⓘ This is an additional question. Factory might get extra points.

Other In-house testing performed (Pls refer to the specific In-House Testing list indicated on separate tabs) Includes ALL HL-NON ELECTRICAL product categories

Comments

The factory performed 57.1% of various, product related in-house tests at the factory.

Photos



Walmart Factory Capability & Capacity Audit (FCCA)
HARDLINES - NON ELECTRICAL

In-House Testing Capabilities
(FCCA Auditor's Reference)
GENERAL HARDLINE ITEMS

No.	Test Performed	Yes	No	N/A	No.	Test Performed	Yes	No	N/A
1	Abrasion Resistance test		x		21	Rain Test			x
2	Arm Strength Test - Vertical - Cyclic*			x	22	Resistance to Environmental Exposure			x
3	Arm Strength Test - Vertical/ Horizontal - Cyclic*			x	23	Sharp points / edges test			x
4	Child Safety - Finger/Hand entrapment test			x	24	Static Load Test			x
5	Cleanability Test			x	25	Tempered Glass Test			x
6	Coating Adhesive Test	x			26	Wheel, Roller, Caster Securement Test			x
7	Corrosion Resistance Test			x	27	add test here, if any			
8	Drop Test	x			28	add test here, if any			
9	Ease of Assembly			x	29	add test here, if any			
10	Handle Pull Test			x	30	add test here, if any			
11	Handle Strength Test			x	31				
12	Hardness Test		x		32				
13	Leakage Test	x			33				
14	Lock Test			x	34				
15	Material Composition		x		35				
16	Metal Detection			x	36				
17	Operability Test			x	37				
18	Practical Use Test	x			38				
19	Pull Force Test			x	39				
20	Racking Test			x				57.1%	

test tab

Clause 5.2

Are appropriate gauges and testing equipment/tools available and have valid calibrations, properly labeled, well maintained and organized

Comments

All gauges and testing equipments/tools had valid, updated calibrations.

Photos

Test equipment with calibration label

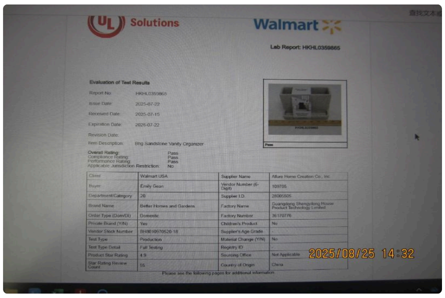
Clause 5.3

Testing manuals of various industry standards and WM Test Protocols are available as reference & are up to date

Comments

Testing manuals of various industry standards and WM Test Protocols were available as reference.

Photos



Third-party test report of WM product

Clause 5.4

Critical clause

Technicians conducting in-house testing are properly trained to perform testing functions and Certificates of training are available. [NOTE: Factory that trains their own technicians must show evidence that their Chief/Master Technician has been trained & certified by qualified professional trainers]

Comments

In-house technicians were properly trained INTERNALLY by factory Chief Technician who was trained and certified to perform testing functions by 3rd Party Lab.

Photos

Section 6.0 Final Inspection

Clause 6.1

Does factory have procedure and working instruction for Final QC Inspection?

Comments

The factory has procedures and work instructions for final quality control. But some workers are not easy to understand the document procedures and work instructions.

Photos

Clause 6.2

Factory QC conducts final inspection based on client-specific AQL sampling plan

Comments

The factory established FQC inspection procedure based on AQL level standards (Level 2, AQL: Cri/0, Major/1.5 & Minor/2.5) which was higher than those set by customer.

Photos

Clause 6.3

An approved sample or reference sample with packing list and shipping marks are available as reference for factory QC

Comments

Only approved sample and reference sample were available.

Photos

Sampled product

Clause 6.4

Approved sample or Reference samples shall be stored in good conditions to maintain product integrity

Comments

Samples were well stored per on site observation.

Photos

Sampled room

Clause 6.5

Are there formal written final inspection reports? Are they properly filed and traceable for review on quality of products?

Comments

Based on observation on site, the FQC records were not so detailed, some test data were not recorded.

Photos

Clause 6.6

Where applicable, does factory final QC perform internal mechanical/functional tests to ensure the safety of product?

Comments

Based on onsite observation and workers interview, the factory performed in-house tests to ensure product safety and recorded the result. But based on sample checking, the test records were incomplete kept for each batch.

Photos


air tightness test

Clause 6.7

Where appropriate, are inspection and testing equipment used by the inspector in good condition and calibrated?

Comments

Based on on-site observation, factory's final inspection and testing equipment were in good condition, properly calibrated with updated calibration/ maintenance records.

Photos

Clause 6.8

Critical clause

Failed inspections are properly corrected prior to final inspection by customer

Comments

Based on document review, failed inspections were corrected prior to customer final inspection.

Photos

Clause 6.9

Factory does not ship goods unless subjected to release procedures from customer

Comments

Factory only release shipments based on customer release process or based on customer final inspection reports.

Photos

Section 7.0 People Resources and Training

Clause 7.1

Critical clause

Factory conducts, documents and maintains on-job training for all personnel or conducts pre-hire testing of skilled workers prior to hiring

Comments

Factory had documented training procedures and pre-hire testing process for skilled workers prior to hiring. Conduct and maintains on-job training only to some personnel. Workers had sufficient knowledge of their jobs.

Photos

Clause 7.2

Is there a formal, documented Technical training/cross-training or certification program for PRODUCTION WORKERS on process, work station, equipment that they perform/use?

Comments

The factory had offered relevant train plan for review, and the factory had offered relevant training for workers. However, the factory just made oral examination for all the trainee without any document examination records.

Photos

Clause 7.3

Is there a formal, documented Technical training or certification program for QC INSPECTORS on QC processes?

Comments

Factory had documented training/cross-training and certification program for QC INSPECTORS， but documents were incomplete and not properly maintained.

Photos

Clause 7.4

is there a formal, documented Technical training or certification program for ENGINEERS, MAINTENANCE OR EQUIPMENT TECHNICIANS?

Comments

Factory had documented training and certification program for ENGINEERS, MAINTENANCE or EQUIPMENT TECHNICIANS. But the documents were incomplete.

Photos

Clause 7.5

Records of trainees and all regular personnel with corresponding performance records are kept and maintained

Comments

Records of trainees and all regular personnel with corresponding performance records were kept and maintained but not in compliance with training plan.

Photos

Clause 7.6

Are training courses / materials suitable for trainees with different job scope?

Comments

Factory had different training modules specific to different Job Functions and implemented but not properly documented.

Photos